



# **GaN HEMT Reliability at the Device Level: A HiREV (High Reliability Electronics Virtual Center) Assessment**

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# Outline



- Background
- Why use GaN HEMTs?
- Survey of “Pathologies” (open lit. basis)
- How are “pathologies” accelerated (open lit.)
- Gaps and Solutions
- Paths Forward
- Conclusions
  
- **For this discussion:**
  - **Open literature only!**
  - **Radiation effects out of scope.**
  - **Package level reliability out of scope**
  - **NOT a final product with industry buy-in**



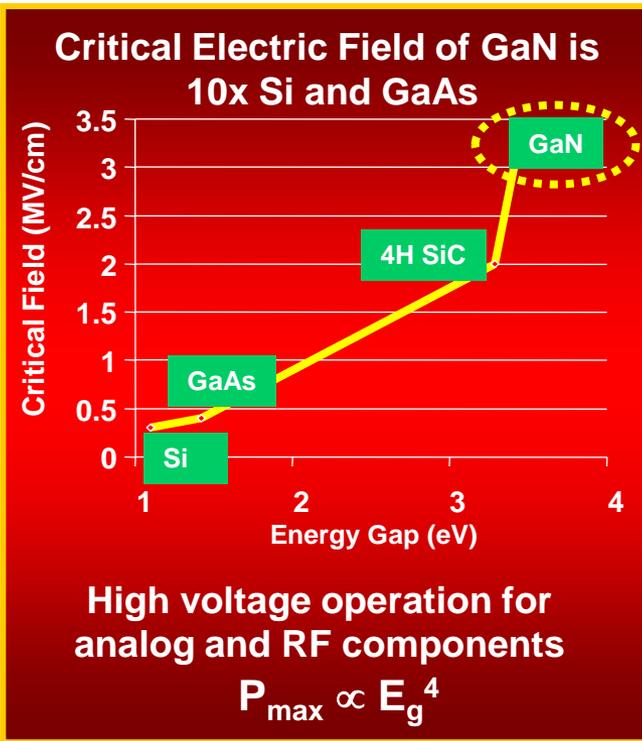
# Background

- DoD has been part of the ongoing national GaN development and maturation effort (~\$800M) – materials, device, circuit to subsystems
- Lifetime and lifetime assessment are key to successful transition
- DoD has been and is now analyzing data from national efforts and performing supplementary tests
- GaN HEMTs are the focus of a large percentage of HiREV's reliability science activity

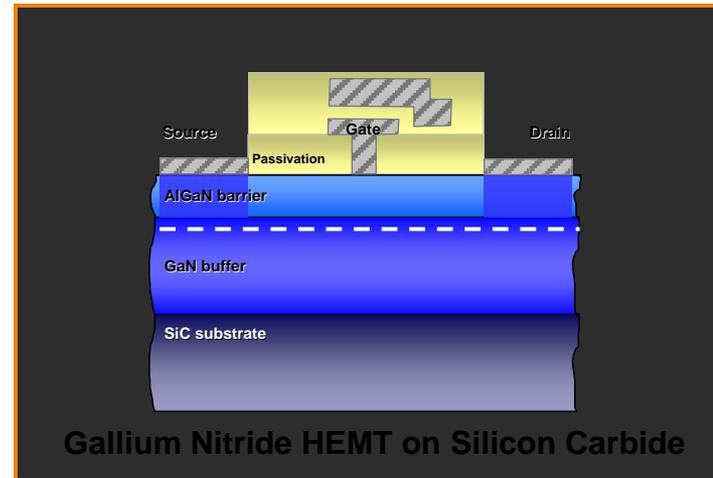


# Why GaN HEMTs?

## The Next Generation of MMICs



## Benefit of GaN HEMTs



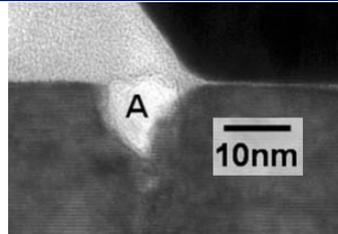
## Dramatically higher...

- Output power
- Efficiency
- Bandwidth





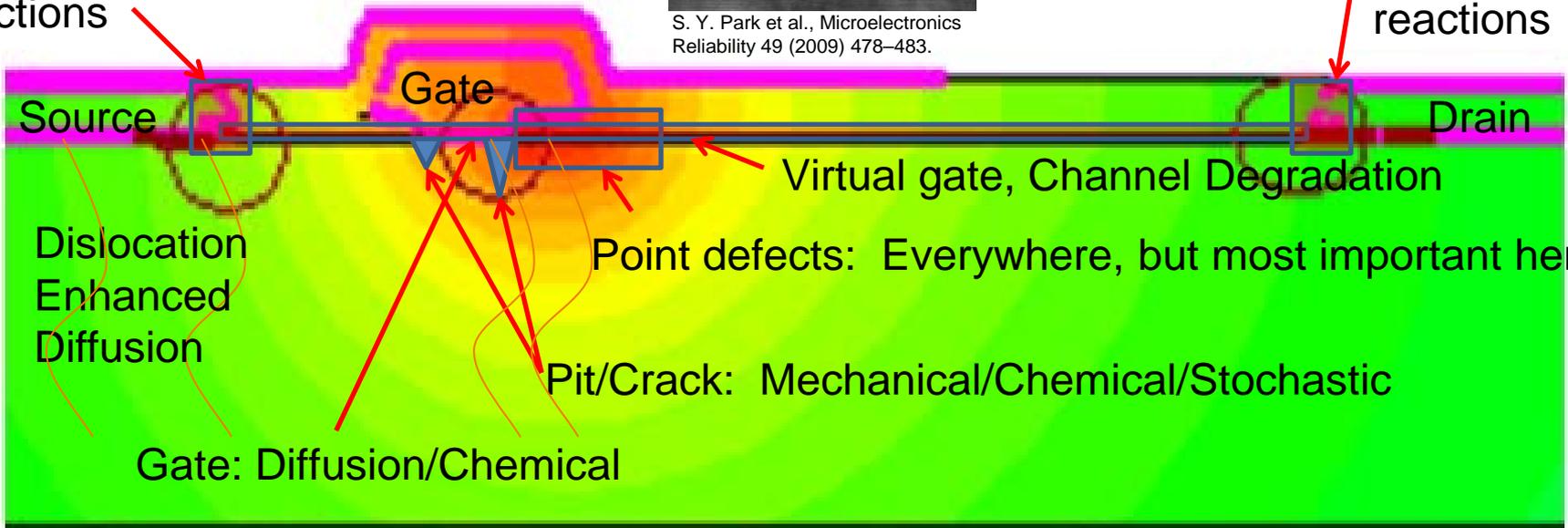
# Survey of "Pathologies"



S. Y. Park et al., Microelectronics Reliability 49 (2009) 478-483.

Ohmic Metal/  
Semiconductor  
reactions

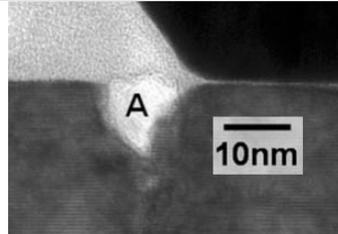
Ohmic Metal/  
Semiconductor  
reactions



Also – catastrophic “crater” failures with no definitive location



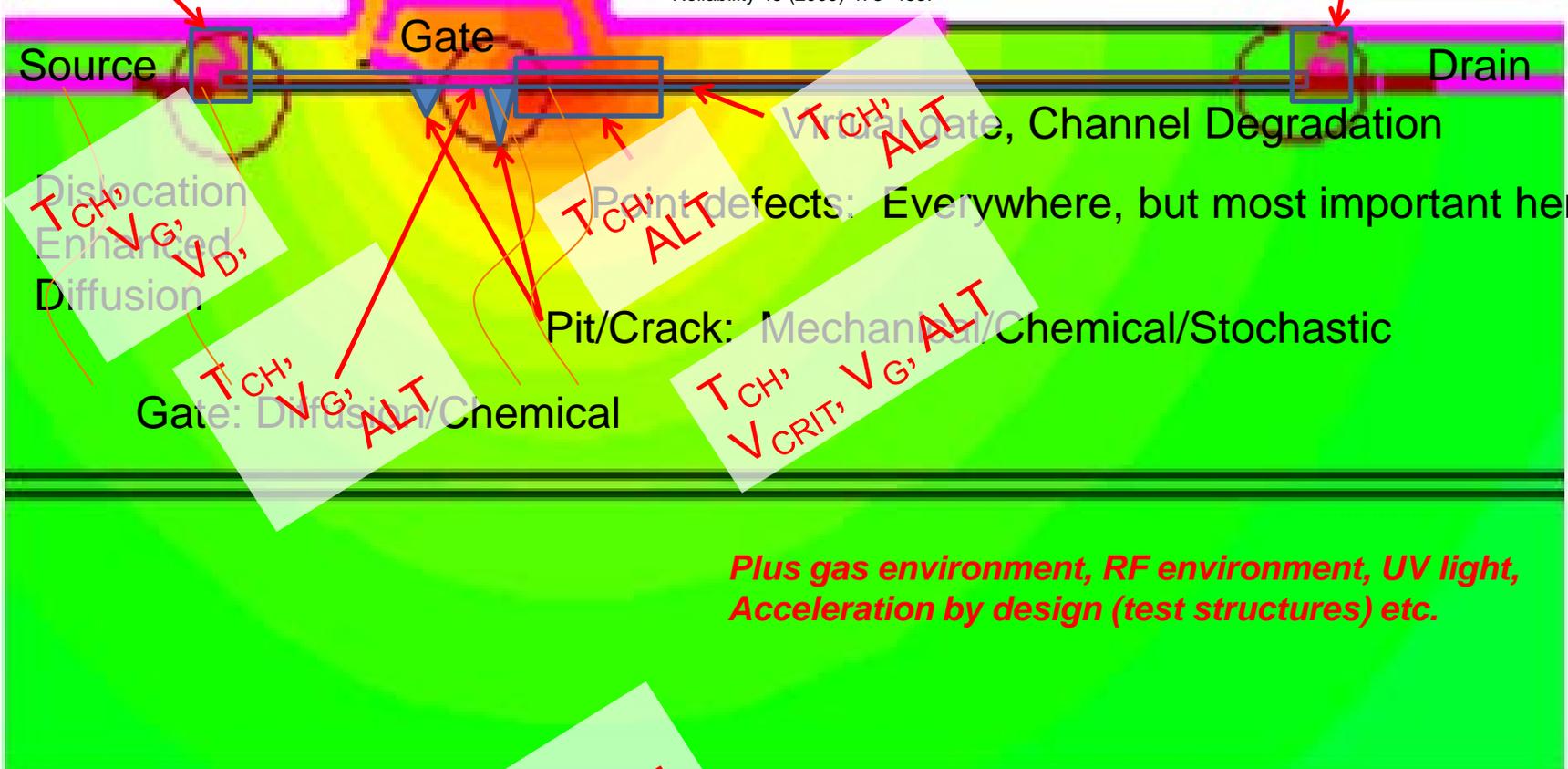
# Survey of Accelerants



S. Y. Park et al., Microelectronics Reliability 49 (2009) 478–483.

Ohmic Metal/  
Semiconductor  
reactions

Ohmic Metal/  
Semiconductor  
reactions



*Plus gas environment, RF environment, UV light, Acceleration by design (test structures) etc.*

Also – catastrophic “sp” failures with no definitive location



# Survey of Open Literature



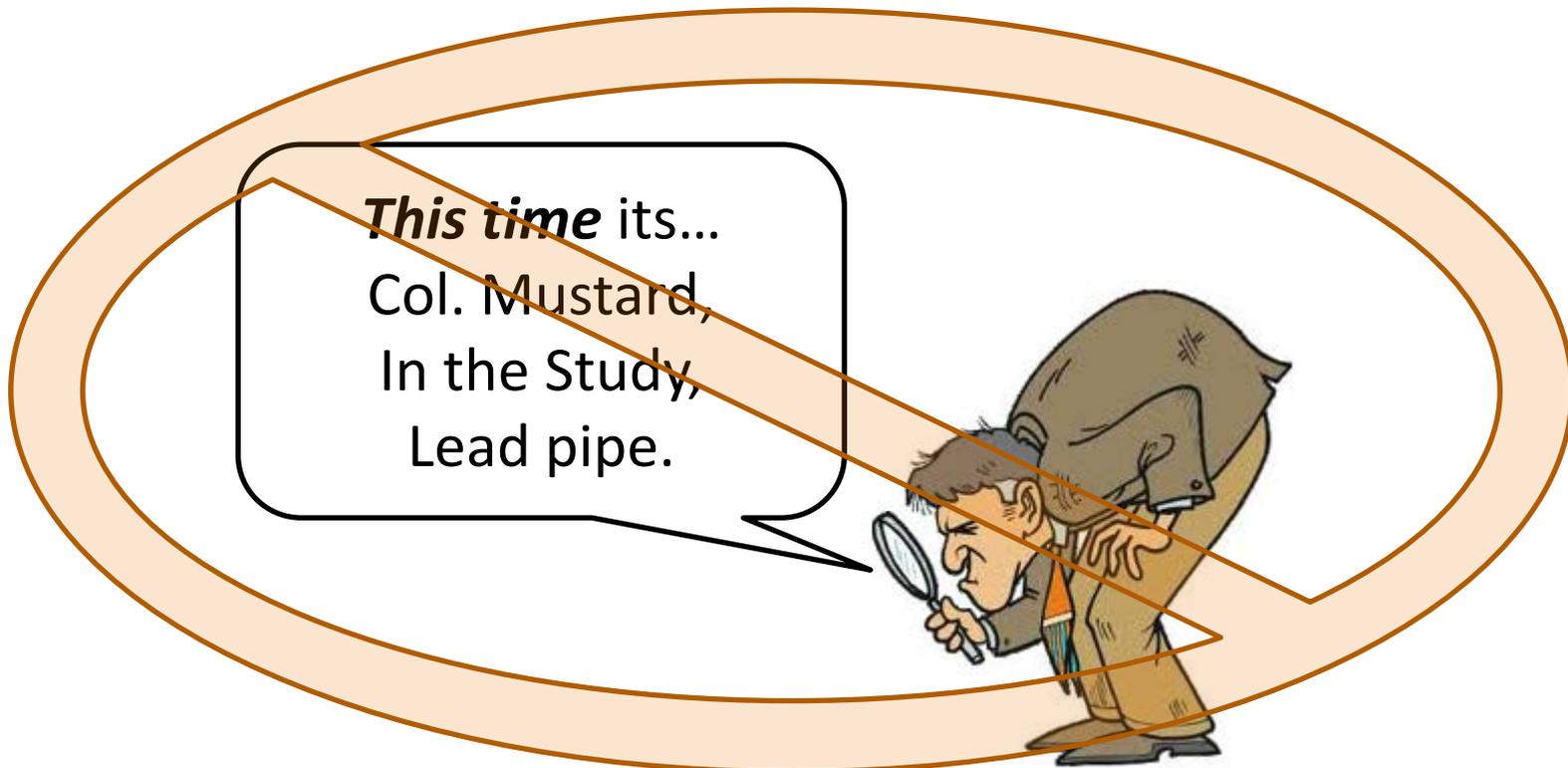
Physics of Failure	Stressor	Failure Metric	Conclusions Drawn
<ul style="list-style-type: none"><li>•Diffusion</li><li>•Defect Percolation</li><li>•TDDB at Gate</li><li>•Surface barrier oxidation</li><li>•Ohmic/Gate intermixing</li><li>•Critical elastic E</li><li>•Cracking/pitting</li><li>•Traps*</li><li>•Alloying, melting</li><li>•Dislocations</li><li>•SBH change</li><li>•Interface Relax.</li><li>•TDDB</li><li>•Unknown</li></ul>	<ul style="list-style-type: none"><li>•DC Electrical (<math>I_D</math>, <math>V_D</math>, <math>V_G</math>, <math>V_{crit}</math>, "semi-on")</li><li>•DC pulsed</li><li>•RF</li><li>•RF pulsed</li><li>•<math>T_{BP}</math> or <math>T_{CH}</math></li><li>•Pulsed T</li><li>•UV light</li><li>•Ambient gas</li><li>•Ambient RF</li><li>•Use of proxy parts</li><li>•Starting conditions/ Processing marginality</li></ul>	<ul style="list-style-type: none"><li>•DC Electrical/parametric</li><li>•RF electrical</li><li>•Model Guided</li><li>•Transients</li><li>•DLTS or I-DLTS</li><li>•Other (PE/Thermal IR/noise/Raman/SEM or AFM image judgment)</li></ul>	<ul style="list-style-type: none"><li>•<math>T_{CH}</math>: Negative <math>E_a</math></li><li>•Low <math>E_a</math> (0.12-0.39)</li><li>•Mid <math>E_a</math></li><li>•Mult. <math>E_a</math>'s one part</li><li>•<math>V_{crit} = V_D - V_G</math></li><li>•<math>V_G</math></li><li>•Hot electrons</li><li>•Recoverable/not</li><li>•Gradual/quick</li><li>•Ambient Dominated</li><li>•DC-RF similar/not</li><li>•Unknown</li></ul>

\* *Multi-dimensional space in Physics of Fill, Type, Location, Physics of Fail*



# What we would like

- Well defined Physics of Failure, Stressor(s), Fail Metric(s) (like Si CMOS)
  - Well defined “path” to follow for reliable conclusions





# Why are we not there?



- Large variation in degradation rate of nominally “identical” parts.

- Cuts across industry.
- A “fog” that clouds reliability testing results.

→ **Rapidly getting better!**

- Much larger variation for parts across fabs

- Rapid tech advancement, “old” parts
- Secrecy/Proprietary limits sharing

Limited distributions of new parts

Process details, origin of parts often unknown

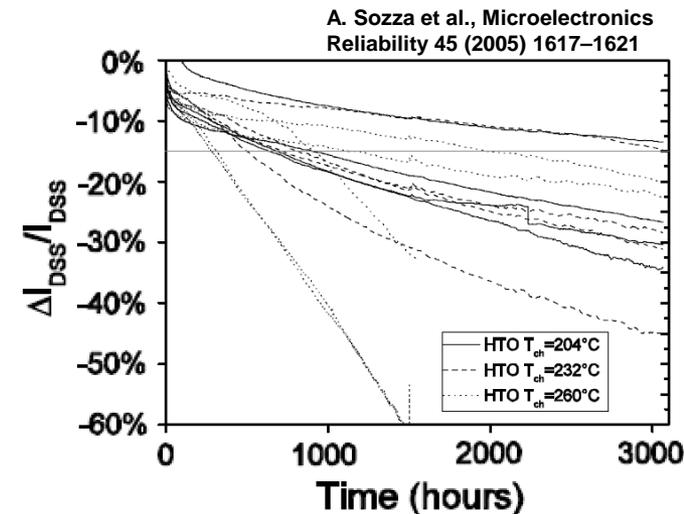
→ **HiREV University Foundry run.**

- Large variation in test protocols

- $R_{th}$ : IR thermal, micro-Raman, modeling
- Uneven treatment of burn-in
- Each data source explores a *subset* of stressor par space.
- Adequacy of existing test channels?

→ **HiREV role as independent tester facilitating uniform testing**

→ **HiREV working fundamental science and tool assessment**





# Why are we not there?



- Complex materials system.
  - Coupled mechanical/thermal/electrical physics.
  - Very large peak E fields, temperatures, thermal gradients.
  - Complex interplays cited in literature (i. e. drifting charged point traps).
  - Lag between experiment and modeling.
  - **Bigger effects drive need for more accuracy (i. e.  $R_{TH} + E_a$ )!**
  - **Fully coupled models (and awareness of complexity) is critical!**
  - **HiREV has both in-house and funded efforts in these areas.**
- Traps, traps, traps
  - Nearly impossible to directly measure, yet a genuine issue.
  - Easy to cite, hard to quantify: density, location(s), species, conditions.
  - Wide bandgap: means traps have microseconds to many days lifetime.
  - Confusion: Creation, depassivation, and/or just filling?
  - **This will require closure. Verification/Validation Critical.**



# On Open Exploration vs. Guideline Driven



Good things happen when Universities ignore guidelines!

- Lots of good stuff in the open lit. not captured by specs like JEP 118.
- Non thermal accelerants
- Hot electrons, Critical biases, Traps and defect percolation
- Full and time dependent role of dislocations (not going away)
- Piezoelectricity (and Inverse PZ) will need to be addressed
- Clouds reliability testing results

→ **Need consistent application of these novel tests to relevant and modern parts for multiple vendors!**

Bad things happen when Universities ignore guidelines!

- Hard to find papers on some topics (ESD, > 1 vendor).
- Time duration for parts on test not usually long enough
- Under-focus on consistency and enough data to **fully** replicate work

**Need better documentation, critical data being discarded!**

**Need to standardize tests when possible**

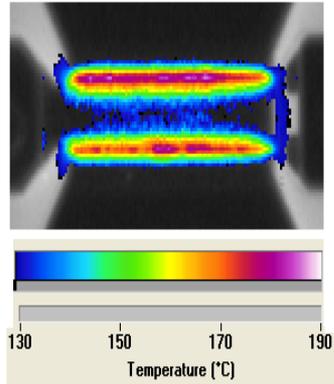
**Statistics important, outliers too.**

→ **Need to address these gaps to get work from there to here!**

→ **Journals practices are moving in our direction**

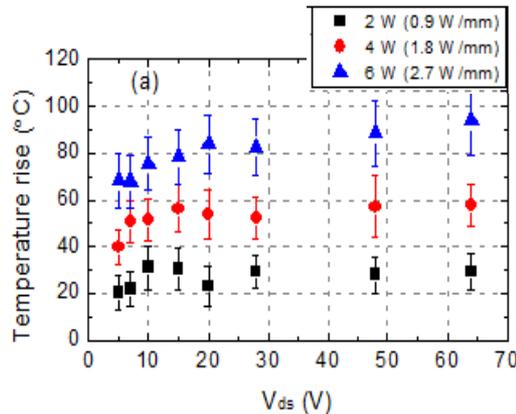


# Example: HiREV Thermal Characterization



## IR Thermography

- Quick look at heating uniformity
- Good for part-part variation
- Not good for absolute temperatures
- ~3-5  $\mu\text{m}$  spatial resolution



## $\mu$ Raman

- Accurate point thermometry
- 1  $\mu\text{m}$  spatial resolution
- Mapping possible
- Measures GaN or SiC temperature only; optical access limitations

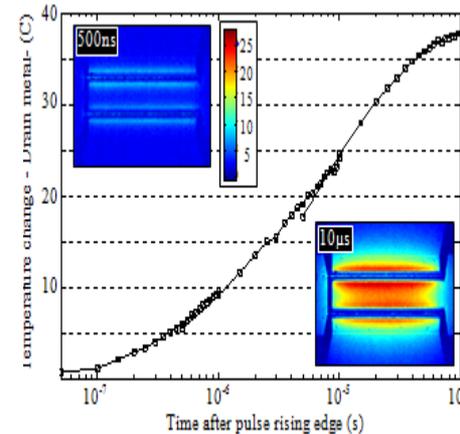
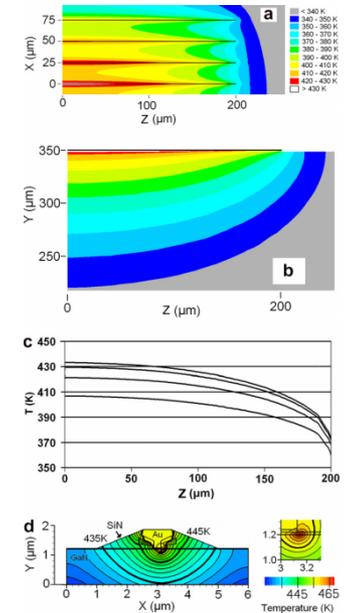


Figure 3. Drain metal rising thermal transient from time=50 nanoseconds to 100 microseconds.

## Thermoreflectance

- Transient measurement with 50ns resolution
- Submicron spatial resolution
- Full device imaging
- Surface localized



## Electro-Thermal Modeling

- Thermal Transients
- Best spatial resolution
- Full device to package
- Buried not an issue
- Only as good as input data  $\rightarrow$  lots of validation!



# Example: HiREV GaN HEMT Modeling



1. Baseline structure



2. Large Gate ( $2\sigma$ )



3. Shifted Gate FP ( $2\sigma$ )



4. Sum of 2 and 3



5. Shifted Gate ( $2\sigma$ )

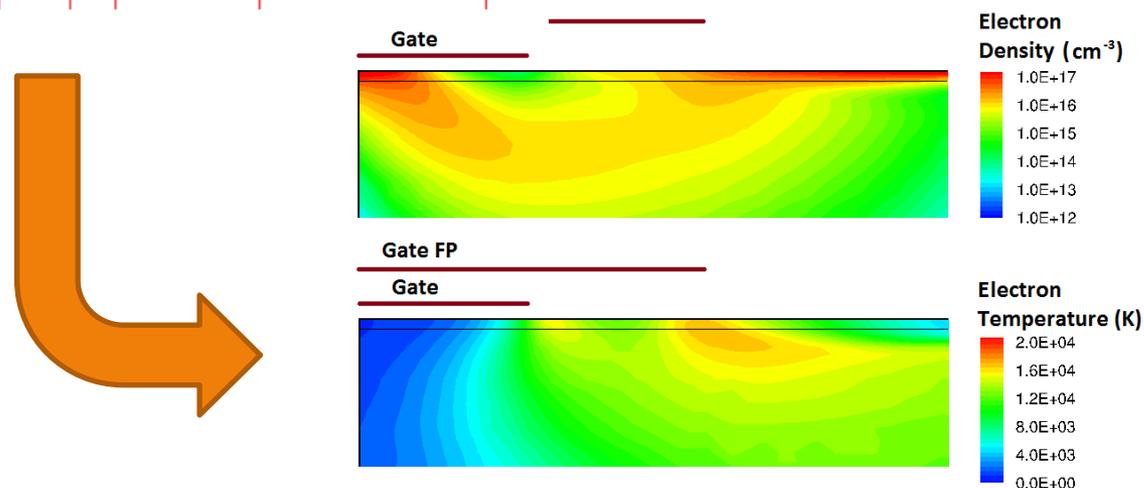


6. Thin SiN Under Gate (based on spec limit)



## Electro-Thermal Physics

- Full device to package
- A Critical Link: Measurable data (electrical, etc.) → Root Causes (E, T,  $T_e$ , traps, etc.)
- Sensitivity analyses: Understand key unknowns (bulk, interlayers, processing)
- Validation is Critical!





# Conclusions



- Many GaN HEMT reliability concerns are expressed in the open literature.
  - But, with “Fog” in Data, Test Methodology, Conclusions.
  - Uncertain how much is worrisome.
  - Not appropriate either to ignore or to follow every lead!
- Gaps can largely be binned
  - Sample limited or institutional (old, proprietary parts)
  - Quality/completeness of reporting
  - Key gaps in science
- HiREV working to fill some key gaps in science
  - Example: Understanding Thermals
  - Example: Electro-Thermal Modeling
- Many thanks to the HiREV team for thoughts/feedback/guidance!

Supplemental past this point



# Approach



Synthesis of published/publishable (non-proprietary) knowledge streams

- Past several years' MURI reviews
- Literature surveys
- HiREV team discussions
- Personal experience: 6 yrs. Phys. based modeling, FA of GaN HEMTs

## “What we know” applied to some key questions

1. Test protocols: Variation seen in open literature & expected impact  
“Your mileage may vary!”
2. Deltas: AlGa<sub>N</sub>/Ga<sub>N</sub> vs. AlGaAs/GaAs
3.  $R_{th}$ : Key & under-appreciated issues
  - IR thermal, micro-Raman, modeling
  - Bias dependence (DC operating regime), DC vs. RF
4. Survey of device stressors in open literature (by design or accidental)
  - $T_{BP}$ ,  $T_{CH}$ ,  $I_D$ , time,  $V_D$ ,  $V_G$ ,  $V_{CRIT}=V_D-V_G$ , RF power



# Survey of Open Literature



About 80 open literature sources, 2007 or newer

- By Physics of Failure:

Diffusion, Defect percolation, TDDDB at gate, Surface barrier oxidation, melting, Ohmic metal intermixing, voiding, Critical Elastic Energy, Cracking, pitting, *trap creation/dep passivation and/or filling + gate/SiN/barrier/channel and/or deep epi + static charge/mobility degradation/transient charge/hopping conduction*, SBH change, interface relaxation, Gate metal intermixing, dislocation density – static charges, traps, highway for impurities.

- By Stressor (accelerant):

DC Electrical ( $I_D$ ,  $V_D$ ,  $V_G$ ,  $V_{CRIT}=V_D-V_G$ , “semi-on”), DC pulsed, RF, RF pulsed, UV light, use of proxy parts (many...),  $T_{BP}$ ,  $T_{CH}$ , gas ambient, RF ambient, impurity starting conditions, T cycling, and combinations of these!



# Survey of Open Literature



Continued...

- **By Failure Metric:**

DC Electrical parametric ( $I_{DSS}$ ,  $I_{DMAX}$ ,  $V_{knee}$ , many...), RF power drop, RF PAE drop, Model guided conclusions, Electroluminescence, Thermal IR, Current Transient, DLTS, I-DLTS, Noise Spectral Density, micro-Raman, SEM, TEM, plan view AFM/SEM image judgment.

- **By Conclusion(s) Drawn:**

Negative  $E_a$ , low (0.12, 0.26, 0.39 eV)  $E_a$ , mid  $E_a$ , high  $E_a$  (2.5 eV) more than one  $E_a$  seen on the same part (fn of T),  $T_{CH}$  critical for  $E_a$  but is a fn(X, Y, Z, Time), non-thermal ( $V_{CRIT}$ ,  $V_G$ , hot electrons) stresses and “ambients” important or dominant,  $10^6$  variation in lifetime in nominally identical parts in one case, load plane shrinks as T increases, parts sometimes “recover”, some fail gradually and some quickly, DC and RF stress not the same, or maybe they are?